New York University – Center for European and Mediterranean Studies

Prof. Dr. Christiane Lemke
Max Weber Chair for European Studies
Fall 2011-2012, Tuesday 5-7pm

EURO GA 3901.002 Governance in the European Union

Governance refers to the capacity of a society to develop some means of making and implementing collective choices. As a major actor in Europe today, the European Union is shaping policy choices and influencing policies in member states. The seminar will explore key concepts of governance in the European Union. We will study major concepts of EU-integration, explore the institutions and policies of the EU and discuss the role of governance and citizens’ participation in the EU. What is the role of nation-states and how are they influencing EU-politics? How does the EU influence national policies and what are the driving forces of Europeanization? What are the sources of legitimacy and how do citizens view the EU? Is regulation enough, or does the EU need a stronger legitimacy? How is the Euro crisis affecting cohesion and integration of the EU? At the end of the semester, students will not only be familiar with key concepts of governance in the EU, such as economic governance and security governance. They will also have a tool kit for critical analysis of EU-policies and some future challenges Europe is facing.

Required readings include
* Selected articles listed on the syllabus (available on blackboard)

Format: The course involves introductory lectures, student presentations, and class discussions. There will be some guest lectures and workshops during the semester.

Requirements and Grading:

a) Regular participation including class discussions, short presentations, and one “event of the week” (25% of the final grade). Note: Event of the week is a short five minute presentation addressing a current event in European politics that draws on newspaper articles and other first hand sources.

b) Three thought papers (6-8 pages; each count for 25% of the final grade). They will summarize key points of your readings on specific topics and include at least two other sources. The three papers should cover: concepts of governance and theories of EU-integration (due on Oct 4); institutions of governance (due on Nov. 1); one field of governance (e.g. economic governance; security; immigration). An outline of the third paper is due on November 30. There will be a final workshop on your research on governance on Dec. 13.

Week 1: September 6
Nation states, European integration and the European Union
Introduction to the course topics, organization and goals of the course
The current state of the EU; the Euro crisis and its lessons; sovereignty and governance
Readings: Crepaz/Steiner, *European Democracies*, chap 14 (The European Union)
Newspaper articles

Week 2: September 13
Governance and Transfer of State Sovereignty
Topics: The post-war years and the idea of peace-building; transfer of state sovereignty; constructing the Euro-polity; governing the market; critique of governance
Readings: McNamara, Constructing Authority in the EU
Dinan, *Ever Closer Union*, chap 1 (Reconstruction, Reconciliation and Integration)
Peters/Pierre: Governance Approaches, in: Wiener/Diez: *European Integration Theory*, pp. 91-105

**Week 3: September 20**

**Governance: Federal and Neofunctional Concepts**
Topics: The federal idea of Europe; Monnet and Spinelli; neo-functionalism (Haas); Coal and Steel Community; political and economic governance
Readings: Burgess, Federalism in: Wiener/Diez, pp. 25-44
Rosamond: *Theories of European Integration*, chap. 2, 3 (Federalism; Neofunctionalism)

**Week 4: September 27**

**States and Interstate Bargaining: Liberal Intergovernmentalism**
Topics: The role of states and interstate bargaining; governments, national interests and the EC; Single European Act and Maastricht Treaty;
Readings: Dinan, *Ever Closer Union*, chap. 2, 3 and 4
Moravscik/Schimmelfennig, Liberal Intergovernmentalism, in: Wiener/Diez, pp. 67-87
Rosamond, *Theories of European Integration*, chap. 4 and 6 (“Backlash, Critique and Contemplation,” “Intergovernmental Europe?”)

**Week 5: October 4**

**Multi-level Governance and the Construction of Europe after the Cold War**
Topics: What is multi-level governance? Constructing the New Europe after the Cold War; regionalism, identity and norms; supranational governance
Readings: Dinan, *Ever Closer Union*, chap. 5 (Maastricht Treaty)
Hooghe, Marks, Multi-Level Governance and European Integration, chap. 1, (Multi-level Governance in the EU; A Historical Perspective)
Hooghe, Marks: A Postfunctionalist Theory of European

**Fall Break**

**Week 6: October 18**

**Legitimizing Governance: EU-Constitution and Treaty of Lisbon**
Topics: Legitimizing governance; significance of EU-constitution; why the constitution failed; the Lisbon Treaty and reforms; rational, historical and sociological institutionalism; scepticism and contentious politics
Readings: Dinan, *Ever Closer Union*, chap. 6 (Treaty Reforms)
Habermas, Why Europe Needs a Constitution

**Week 7: October 25**

**Governance and Regulation: EU-Commission, EU Council, and Council of Ministers**
Topics: Euro-polity and decision-making; the regulatory role of the Commission; the law-making role of the Council; supranational and intergovernmental policy making
Readings: Dinan, *Ever Closer Union*, chap. 7, 8
Hix, *What is Wrong with the EU*, chap 6, 7, 8, 10

**Week 8: November 1**

**The European Parliament: Representation of Citizens or Democratic Deficit?**
Topics: The role of the EP; parties in parliament; assessing the democratic deficit; Euro-scepticism and the New Right; contentious politics
Readings: Dinan, *Ever Closer Union*, chap. 9 (The European Parliament)
Kohler Koch, Civil Society and EU democracy
Schmidt, The European Union in Search for Identity
Selected articles on new right and Euro-scepticism

**Week 9: November 8**

**Economic Governance: Markets, Monetary and Economic Union, the Euro Crisis**
Topics: Globalization and the EU; functioning of the internal market; common currency; the European social model; responses to the economic crisis
Readings: Dinan, *Ever Closer Union*, chap. 12, 13 and 14 (Agricultural Policy, Internal Market; Social Policy)
Jacoby/Meunier, Europe and the Management of Globalization
Selected articles on Euro crisis

**Week 10: November 15**

**Energy and Environmental Policy of the EU**
Topics: Europeanization and environmental policy; leaders and laggards; the EU in international climate change regulations
Readings: Dinan, *Ever Closer Union*, chap. 15
Börzel, Pace-Setting, Foot-Dragging, and Fence Sitting (Europeanization)
Knill/Liefferink, Environmental Politics in the EU, chap. 1-5; 10

**Week 11: November 22**

**Governing and Diversity: EU Immigration Policy**
Topics: How does Europe cope with immigration? Is there a European immigration policy? Ethnic and religious diversity; searching a new identity
Readings: Dinan, *Ever Closer Union*, chap. 17 (Internal Security)
Klausen, The Islamic Challenge, chap 6 (Sexual Politics and Multiculturalism)

**Week 12: November 29**

**Security Governance: Common Foreign and Security Policy, Transatlantic Relations**
Topics: Does the EU have a common foreign and security policy? The concept of the EU as a civilian power. What about the defence policy?
Readings: Börzel/ Risse: Venus Approaching Mars?
Dinan: Ever closer Union, chap. 17, 18
Howorth: Security and Defence Policy in the European Union
Lemke: Germany’s EU Policy. The Domestic Discourse
**Paper Topics for Workshop Due November 30 (1-2 page outline; bibliography)**

**Week 13: December 6**

**External Relations of the EU: Diffusion of Norms and Democratization?**
Topics: Why do countries join the EU? What can we learn from eastern enlargement? What is the role of norm diffusion? Euro-Mediterranean Partnership and Eastern Europe. Will Turkey join the EU?
Readings: Dinan, Ever Closer Union, chap. 16 (Enlargement; Other Countries)
Vachudova, *Europe Undivided*, chap 1, 2, 4 (optional chap. 6, 7)

**Week 14: December 13**
**Concluding Session: Workshop with student presentations**
Prof. Dr. Christiane Lemke

Literature
(Note: Texts marked with * will be on reserve electronically)

*Bolleyer, Nicole and Tanja A. Börzel (2010). Non-hierarchical policy coordination in multi-level systems, European Political Science Review, 2:2, pp. 157-185


Hooghe, Liesbet and Gary Marks (2001). Multi-Level Governance and European Integration, Lanham et.al.: Rowman and Littlefield


*M Moravscik, Andrew (2006). What can we learn from the Collapse of the European Constitutional Project, Politische Vierteljahresschrift 47, pp. 219-241*


*Schimmelfennig, Frank (2010). The normative origins of democracy in the EU: Toward a transformational theory of democratization, European Political Science, 2:2, pp. 211-233*


There will be several articles on reserve on blackboard. Please check the website of the EU for current information.